



Docket No.

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the or an original, first, and sole (if one name appears below) or joint (if plural names appear below) inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

### Complement Inhibitor

the specification of which is further identified by International Application No. **PCT/GB97/03275**


I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to my person to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

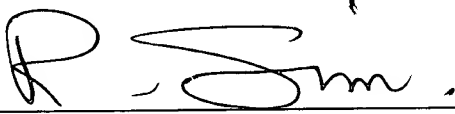
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section

1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Priority is claimed, under 35 U.S.C. § 119 and 37 C.F.R. § 1.55, of Great Britain patent application serial number **9624731.7** filed **28 November 1996**.

Inventor's Signature:   
Full name of Inventor: Wilhelm Schwaeble  
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8SS

Citizenship: German

Inventor's Signature:   
Full name of Inventor: Robert Braidwood Sim  
Date of Signature: 26/5/99  
Residence or Post Office Address: 106 Water Eaton Lane, Gosford,  
Kidlington, Oxfordshire, OX5 2PR, United Kingdom

Citizenship: British

23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

**Wilhelm Schwaeble and Robert Braidwood Sim**

For: **Complement Inhibitor**

International Application No. **PCT/GB97/03275**

**POWER OF ATTORNEY**

Hon. Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

**University of Leicester**, assignee of the entire right, title and interest in the above-identified International application further identified by Docket No. , hereby appoints the following attorneys to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith:

Alan L Barry (Reg No 30,819), Daniel N Christus (Reg No 29,626), Joseph A Fuchs (Reg No 34,604), Jeffrey R Gargano (Reg No 38,148), Tejpal S Hansra (Reg No 38,172), Richard C Himelhoch (Reg No 35,544), James J Jagoda (Reg No 33,250), Linda A Kuczma (Reg No 30,861), Micheal D Lake (Reg No 33,727), Michael S Leonard (Reg No 37,557), Monique A Morneault (Reg No 37,893), James P Muraff (Reg No P39,785), Paul J Nykaza (Reg No 38,984), Bradley F Rademaker (Reg No 35,331), Roger H Stein (Reg No 31,882), Thomas K Stine (Reg No 32,310), Robert E Wagner (Reg No 20,528), Sidney Wallenstein (Reg No 14,073)

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**University of Leicester**



Name

**DR. K. M. C. DAVIS**

Date

**4-06-99**

Authorized Agent (title)

**Director of Research Administration**

Applicant or Patentee **Wilhelm Schwaeble and Robert Braidwood Sim**  
Serial or Patent No.: **PCT/GB97/03275**  
Filed or Issued: **28 November 1997**  
  
Title: **Complement Inhibitor**

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**

**(37 CFR 1.9(f) & 1.27(d)) - NONPROFIT ORGANIZATION**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: **University of Leicester**  
ADDRESS OF NONPROFIT ORGANIZATION: **University Road**  
**Leicester LE1 7RH**  
**United Kingdom**

**TYPE OF NONPROFIT ORGANIZATION:**

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) AND 501(c)(3))
- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE: \_\_\_\_\_)  
(CITATION OF STATUTE: \_\_\_\_\_)
- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE: \_\_\_\_\_)  
(CITATION OF STATUTE: \_\_\_\_\_)

#3

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I hereby declare the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
- ☐ each such person, concern or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF PERSON SIGNING: DR. K. M. C. DAVIS

**Director of Research Administration**

TITLE IN ORGANIZATION OF PERSON SIGNING: University of Leicester

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LE1 7RH

SIGNATURE: K. M. C. Davis Tel: 0116 252 2487  
Fax: 0116 252 2023 DATE: 28-05-99.